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PATENT
13761-0726

CERTIFICATE OF MAILING

I hereby certify that this paper or fee is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Certificate of Correction Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: August 23, 2004.

Nancy L. Hug
Nancy L. Hug

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Michael R. STALLCUP, et al.

Patent No.: 6,743,614 b1

Issued: June 1, 2004

Serial No.: 09/464,377

Filed: December 15, 1999

**For: REGULATION OF GENE
EXPRESSION BY PROTEIN
METHYLATION**

Group Art Unit: 1652

Examiner: Rebecca E. Prouty

Confirmation No.: 7454

**Certificate
AUG 31 2004
of Correction**

**PETITION FOR CERTIFICATE OF CORRECTION
PURSUANT TO 37 CFR § 1.322**

Certificate of Correction Branch
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR § 1.322 Applicants respectfully request the Commissioner for a Certificate of Correction for the above-identified U.S. Patent incorporating the following change:

AUG 31 2004

On the Front Page:

At item (73) Assignee, please add "University of Southern California, Los Angeles, CA (US)" as co-Assignee.

REMARKS

Attached is a copy of the Notice of Recordation of Assignment and Recordation Form documents showing both the University of Southern California and The Regents of the University of California as co-Assignees.. The Assignment document correctly identifies the University of Southern California as the Assignee. The Notice of Recordation of Assignment Form document incorrectly, through a typographical error, identifies the Assignee as the "University of State of California".

CONCLUSION

Pursuant to 37 CFR § 1.322, Applicants respectfully petition the Commissioner to issue a Certificate of Correction for U.S. Patent No. 6,743,614, which incorporates the above-identified correction. PTO Form 44 showing the change is enclosed herewith.

Applicants believe no fee is required. However, the undersigned hereby authorized the Commissioner to charge any fee due for the filing of these papers to Bingham McCutchen Account No. 50-2518 (our ref. 13761-0726).

Respectfully submitted,

BINGHAM McCUTCHEN LLP

Dated: August 23, 2004

By: Antoinette F. Konski
Antoinette F. Konski
Reg. No. 34,202

Bingham McCutchen LLP
Three Embarcadero Center, Ste. 1800
San Francisco, CA 94111-4067
Phone No. (650) 849-4950
Fax No. (650) 849-4800



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

MAY 19, 2000

PTAS

MCCUTCHEN, DOYLE, BROWN & ENERSEN LLP
WILLIAM E. THOMSON, JR.
THREE EMBARCADERO CENTER
SAN FRANCISCO, CA 94111



101302784A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 03/27/2000

REEL/FRAME: 010638/0479
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

STALLCUP, MICHAEL R.

DOC DATE: 03/04/2000

ASSIGNOR:

CHEN, DAGANG

DOC DATE: 03/04/2000

ASSIGNOR:

HONG, HENG

DOC DATE: 03/04/2000

ASSIGNEE:

UNIVERSITY OF STATE OF CALIFORNIA
3716 SOUTH HOPE ST
SUITE 313
LOS ANGELES, CALIFORNIA 90007-4344

ASSIGNEE:

REGENTS OF THE UNIVERSITY OF
CALIFORNIA
1111 FRANKLIN STREET
12TH FLOOR
OAKLAND, CALIFORNIA 94607-5200

03761-726-00451
noted
RJB

010638/0479 PAGE 2

SERIAL NUMBER: 09464377
PATENT NUMBER:

FILING DATE: 12/15/1999
ISSUE DATE:

SHARON LATIMER, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

03-31-2000

FORM PTO 1594

1-31-92

RECORDATION FORM

PATENTS O.

Commerce

k Office

101302784

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof:

1. Name and address of conveying party(ies):

Name: Michael R. Stallcup
 Address: 2290 Panorma Terrace
Los Angeles, CA 90039
 Name: Dagang Chen
 Address: 14515 Langhill Drive
Hacienda Heights, CA 91745
 Name: Heng Hong
 Address: 1140 Mohawk Hills Drive, #H
Carmel, IN 46032

☒ Individual(s) ☐ Association
☐ General Partnership ☐ Limited Partnership
☐ Corporation, State of _____

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party(ies):

☒ Other: University of State of California

Name: University of Southern California
 Address: 3716 South Hope St., Suite 313
Los Angeles, CA 90007-4344

☐ Individual(s) ☐ Association
☐ General Partnership ☐ Limited Partnership
☐ Corporation, State of _____
☒ Other: University of State of California

Name: The Regents of the University of California
 Address: 1111 Franklin Street, 12th Floor
Oakland, CA 94607-5200

☐ Individual(s) ☐ Association
☐ General Partnership ☐ Limited Partnership
☐ Corporation, State of _____

Additional name(s) of receiving party(ies) attached?

☐ Yes ☒ No

3. Nature of Conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other: _____

Execution Date: March 4, 2000

4. Application Number(s) or Patent Number(s):

A. Patent Application Number(s): 09/464,377Title: REGULATION OF GENE EXPRESSION BY PROTEIN METHYLATIONAdditional numbers attached? ☐ Yes ☒ No

B. Patent Number(s):

Title: _____
 Filed: _____

Additional numbers attached? ☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: William E. Thomson, Jr.
 Address: McCutchen, Doyle, Brown & Enersen, LLP
Three Embarcadero Center
San Francisco, CA 94111

Attorney Docket No. 13761-726-00-US6. Total number of applications and patents involved: 17. Total fee enclosed: \$40.00

☐ Authorized to be charged to deposit account.
☒ The Commissioner is authorized to charge any fees or credit any overpayment to deposit Account Number 50-1192.

8. Deposit Account Number: 50-1192

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

William E. Thomson, Jr.
 Reg. Number: 20,719

Signature

March 21, 2000
 Date

Total number of pages including cover sheet, attachments and document: 4

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks
 Box: Assignments
 Washington, D.C. 20231

PATENT APPLICATION

Assignment

For good and valuable consideration, the receipt of which is hereby acknowledged, we, the undersigned, co-inventors:

Michael R. Stallcup
Dagang Chen
Heng Hong

who have created a certain invention for which an application for United States Letters Patent entitled **REGULATION OF GENE EXPRESSION BY PROTEIN METHYLATION** was filed in the U.S. Patent and Trademark Office on December 15, 1999, and assigned Serial Number 09/464,377;

Do hereby sell, assign and transfer to the University of Southern California, a non-profit corporation, having a place of business at 3716 South Hope Street, Suite 313, Los Angeles, California 90007-4344, its successors, assigns, and legal representatives, the full and exclusive right to said invention and said application and to any and all inventions described in said invention and said application for the United States, its territorial possessions and all foreign countries, and the entire right, title and interest in and to any and all Letters Patent which may be granted therefor in the United States, its territorial possessions and all foreign countries; and, to the extent required by obligations arising under our respective employment contracts with the University of Southern California, or pursuant to all applicable University policies, in and to any and all continuations-in-part, continuations, divisions, substitutes, reissues, extensions thereof, and all other applications for Letters Patent relating thereto which have been or shall be filed in the United States, its territorial possession and/or any foreign countries, and all rights, together with all priority rights, under any of the international conventions, unions, agreements, act, and treaties, including all future conventions, unions, agreements, acts, and treaties;

Agree that the University of Southern California, hereinafter referred to as Assignee, may apply for and receive Letters Patent for said invention and said inventions, hereinafter referred to as said invention, in its own name, in the United States, its territorial possessions, and all foreign countries; and that, when requested to carry out in good faith the intent and purpose of this assignment, at the expense of said Assignee, its successors, assigns and legal representatives, the undersigned will, to the extent required by obligations arising under our respective employment contracts with the University of Southern California, or pursuant to all applicable University policies, execute all continuations-in-part, continuations, divisions, substitutes, reissues, extensions thereof, execute all rightful oaths, assignments, powers of attorney and other papers, testify in any legal or quasi legal proceedings; communicate to said Assignee, its successors, assigns or legal representatives all facts known to the undersigned relating to said invention and the history thereof; and generally do everything possible which said Assignee, its successors, assigns, or legal representatives shall consider desirable for aiding in securing, maintaining and enforcing proper patent protection for said invention and for vesting title to said invention and all

applications for patents on said invention in said Assignee, successors, assigns, or legal representatives; and

Covenant with said Assignee, its successors, assigns, or legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same has herein expressed is possessed by the undersigned.

IN THE TESTIMONY WHEREOF we have hereunto set my signature on the date indicated below.

Michael R. Stallcup

Michael R. Stallcup
Date: 3/4/00

Dagang Chen

Dagang Chen
Date: 3/3/2000

Heng Hong

Date: _____

applications for patents on said invention in said Assignee, successors, assigns, or legal representatives; and

Covenant with said Assignee, its successors, assigns, or legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same has herein expressed is possessed by the undersigned.

IN THE TESTIMONY WHEREOF we have hereunto set my signature on the date indicated below.

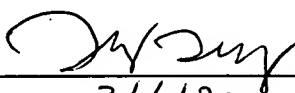
Michael R. Stallcup

Date: _____

Dagang Chen

Date: _____

Heng Hong


Date: 3/6/2001

UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO : 6,743,614 *B1*

DATED : June 1, 2004

INVENTOR(S) : Michael R. Stallcup, et al.

It is certified that error appears in the above-identified patent and that said Letters Patent are hereby corrected as shown below:

On the Front Page at item (73) Assignee:

University of Southern California, Los Angeles, CA (US)

should be listed as co-Assignee.

MAILING ADDRESS OF SENDER: Antoinette F. Konski
Bingham McCutchen LLP
3 Embarcadero Center, Ste 1800
San Francisco, CA 94111

PATENT NO. *6,743,614 B1*

No. of additional copies



1

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

AUG 31 2004

UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF CORRECTION

PATENT NO : 6,743,614 ^{B1}

DATED : June 1, 2004

INVENTOR(S) : Michael R. Stallcup, et al.

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1

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If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

AUG 31 2004